JC10 Rec'd PCT/PTO 13 JUL 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 5259-054/NF

CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 13 February 2003 (13.02.2003) PCT/JP2004/001600 13 February 2004 (13.02.2004) TITLE OF INVENTION FIBER OPTIC COMMUNICATION SYSTEM APPLICANT(S) FOR DO/EO/US TANOBE, Hiromasa, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). $\overline{\mathbf{X}}$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16 L A power of attorney and/or change of address letter. 17. I A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC17 Rec'CT TTTO 13 JUL 2005

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (If known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER							
U.S. APPLICA	TION NO. (if known	5ee 37 CER 15)	6 PCT/JP200		5259-054		
	1012	4671	PC1/JF200	4/001000	3239-03	4/INT	
20. Other items or information: Express Mail Transmittal (EV 716 205 099 US); Check for \$3,300; Eng Trans of Formal Drawings (122 pages); Form HDP-1449 citing 6 references (6 enclosed) w/ ISR, Eng Trans of ISR & Written Opinion of ISA; Pub Int'l App WO 2004/073225 A1; Return Receipt Postcard							
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. X Basic national fee (37 CFR 1.492(a))					\$ 300.00		
22. X Exam	nination fee (37 CF						
by IPĖA/	ion prepared by IS US indicates all cl	\$ 200.00					
If the written opin IPEA/US Search fee (37 C International Sea previously	rch fee (37 CFR 1. iion of the ISA/US indicates all claim FR 1.445(a)(2)) had the same onal Searching Au rch Report prepare communicated to	\$ 400.00					
	TOTAL OF 21, 22	2 and 23 =			900.00		
sequenc electroni	e listing in complia c medium) (37 CF	or specification and drawings filed in paper over 100 sheets (excluding sting in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an nedium) (37 CFR 1.492(j)). 250 for each additional 50 sheets of paper or fraction thereof. Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number)					
334 - 100 =	334 - 100 = 234 /50 =		5 x \$250		\$ 1,250.00	!	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ 0.00		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	35	- 20 =	15	x \$50	\$ 750.00		
Independent clai	ms 3	- 3 =	0	x \$200	\$ 0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360			+ \$360	\$ 360.00			
		\$ 3,260.00					
Applicant cla	ims small entity st	0.00	T				
	•			SUBTOTAL =	\$ 3,260.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	0.00	
TOTAL NATIONAL FEE =					\$	3,260.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	40.00	
TOTAL FEES ENCLOSED =					\$	3,300.00	
					Amount to be refunded:	\$	
					Amount to be charged	\$	

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a. X	A check in the amount of \$3,300.00 to cov	ver the above fees is enclo	10/542316				
b. 🗆	Please charge my Deposit Account No in the a A duplicate copy of this sheet is enclosed.	amount of \$	_ to cover the above fees.				
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No08-0750 A duplicate copy of this sheet is enclosed.						
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Grego HARN P. O. I Bloom	LL CORRESPONDENCE TO: Try A. Stobbs NESS, DICKEY & PIERCE, P.L.C. Box 828 Infield Hills, MI 48303 Id States of America	SIGNATURE Gregory A. Stobbs NAME 28,764 REGISTRATION NUMBER Dated: July 13, 2005					

10/542316

AS DESIGNATED/ELECTED OFFICE (DO/EO/US)

13 JUL 2005

Express Mail No.: EV 716 205 099 US

Date of Deposit: 13 July 2005

Application No.:

Not Yet Assigned – National Phase of PCT/JP2004/001600

International

Filing Date:

13 February 2004

Applicant:

Hiromasa TANOBE, et al

Title:

FIBER OPTIC COMMUNICATION SYSTEM

Attorney Docket:

5259-054/NP

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office to Addressee on 13 July 2005 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 716 205 099 US);
- 2. Check in the amount of \$3,300.00;
- Transmittal Letter to the DO/EO/US Concerning a Filing Under 35 USC 371 (3 pages, in duplicate);
- Application Data Sheet (4 pages);
- 5. English Translation of International Application (212 Pages);
- 6. English Translation of Article 19 Amendment and Statement (6 pages);
- 7. English Translation of Formal Drawings (122 pages);
- 8. Signed Declaration and Power of Attorney (4 pages);
- 9. Assignment Cover Sheet and Assignment (3 pages);
- IDS with Form 1449 (citing 6 references, 6 enclosed) and ISR, Eng Translation of ISR & Written Opinion of ISA;
- 11. Published International Application WO 2004/073225 A1; and
- 12. Return Postcard.

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

(248) 641-1600

Susan Mc Gaw